

**ANNEX B  
GOVERNMENT PROCUREMENT POLICY BOARD  
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: ALAMINOS CITY WATER DISTRICT

Period Covered:

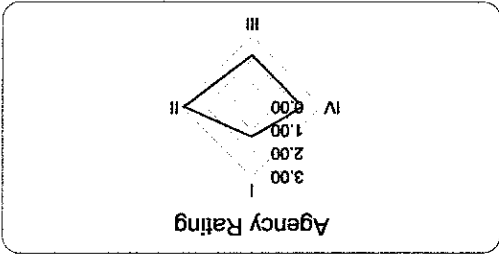
|   | Column 1              | Column 2 | Column 3   | Column 4   | Column 5             | Column 6 | Column 7 | Column 8 | Column 9 | Column 10 | Column 11 | Column 12 | Column 13 |
|---|-----------------------|----------|------------|------------|----------------------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|
| <b>1. Public Bidding*</b>                             |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 1.1. Goods  | 96,050,180.00         |          | 9          | 8          | 12,450,057.00        | 4        | 26       | 26       | 8        | 9         | 8         | 0         | 0         |
| 1.2. Works  | 4,929,374.94          |          | 2          | 1          | 2,550,010.14         | 1        | 4        | 2        | 1        | 2         | 1         | 0         | 0         |
| 1.3. Consulting Services                              |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| Sub-Total   | 100,979,554.94        |          | 11         | 9          | 15,000,067.14        | 5        | 30       | 28       | 9        | 11        | 9         | 0         | 0         |
| <b>2. Alternative Modes</b>                           |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.1.1 Shopping (52.1 a above 50K)                     | 0.00                  |          | 0          | 0          | 0.00                 |          |          |          |          |           | 0         |           |           |
| 2.1.2 Shopping (52.1 b above 50K)                     | 710,235.00            |          | 5          | 5          | 684,757.00           |          |          |          |          | 5         | 5         |           |           |
| 2.1.3 Other Shopping                                  |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.2.1 Direct Contracting (above 50K)                  | 990,000.00            |          | 1          | 1          | 952,650.00           |          |          |          |          |           | 1         |           |           |
| 2.2.2 Direct Contracting (50K or less)                |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.3.2 Repeat Order (50K or less)                      |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.4. Limited Source Bidding                           |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.5.1 Negotiation (Common-Use Supplies)               |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.5.2 Negotiation (Recognized Government Printers)    |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.5.3 Negotiation (TFB 53.1)                          |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 2.5.4 Negotiation (SYP 53.9 above 50K)                | 8,594,666.32          |          | 47         | 46         | 8,004,282.45         |          |          |          |          | 47        | 46        |           |           |
| 2.5.5 Other Negotiated Procurement (Others above 50K) | 940,000.00            |          | 5          | 5          | 854,800.00           |          |          |          |          |           | 5         |           |           |
| 2.5.6 Other Negotiated Procurement (50K or less)      | 2,817,141.05          |          | 187        | 186        | 2,533,744.30         |          |          |          |          |           |           |           |           |
| Sub-Total   | 14,052,042.37         |          | 245        | 243        | 13,030,233.75        |          |          |          |          | 52        | 57        |           |           |
| <b>3. Foreign Funded Procurement**</b>                |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 3.1. Publicly-Bid                                     |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| 3.2. Alternative Modes                                |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| Sub-Total   | 0.00                  |          | 0          | 0          | 0.00                 |          |          |          |          |           |           |           |           |
| <b>4. Others specify:</b>                             |                       |          |            |            |                      |          |          |          |          |           |           |           |           |
| <b>TOTAL</b>  | <b>115,031,597.31</b> |          | <b>256</b> | <b>252</b> | <b>28,030,300.89</b> |          |          |          |          |           |           |           |           |

\* Should include foreign-funded publicly-bid projects per procurement type  
 \*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

  
 PATRICIA A. ACROY / LHIZE JOYR GOMEZ  
 BAC Secretariat

  
 ADORA E. BRAVO  
 BAC Chairperson

  
 MICHELLE E. SECU  
 BAC PE



|   |  |               |      |      |
|---|--|---------------|------|------|
| APCI Pillars                                      | Ideal Rating   | Agency Rating | 3.00 | 1.27 |
| I   | Legislative and Regulatory Framework                     |               | 3.00 | 2.90 |
| II  | Agency Institutional Framework and Management Capacity   |               | 3.00 | 2.17 |
| III   | Procurement Operations and Market Practices              |               | 3.00 | 2.20 |
| IV  | Integrity and Transparency of Agency Procurement Systems |               | 3.00 | 2.13 |
| Total (Pillar I+Pillar II+Pillar III+Pillar IV)/4 |  |               | 3.00 | 2.13 |

Summary of APCI Scores by Pillar

|  |   |                          |      |      |  |
|--|---|--------------------------|------|------|--|
| Indicator 9. Compliance with Procurement Thresholds                                      |   |                          |      |      |  |
| 9.a  | Percentage of contracts awarded within prescribed period of action to procure goods   | 0.00%                    | 0.00 | PMRS |  |
| 9.b  | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects   | 100.00%                  | 3.00 | PMRS |  |
| 9.c  | Percentage of contracts awarded within prescribed period of action to procure consulting services   | n/a                      | n/a  | PMRS |  |
| Indicator 10. Capacity Building for Government Personnel and Private Sector Participants |   |                          |      |      |  |
| 10.a   | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis   | Fully Compliant          | 3.00 |      | Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel  |
| 10.b   | Percentage of participation of procurement staff in procurement training and/or professionalization program   | 100.00%                  | 3.00 |      | Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted  |
| 10.c   | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                  | Compliant                | 3.00 |      | Ask for copies of documentation of activities for bidders  |
| Indicator 11. Management of Procurement and Contract Management Records                  |   |                          |      |      |  |
| 11.a   | The BAC Secretariat has a system for keeping and maintaining procurement records  | Fully Compliant          | 3.00 |      | Verify actual procurement records and time it took to retrieve records (should be no more than two hours)<br>Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance. |
| 11.b   | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             | Fully Compliant          | 3.00 |      | Verify actual contract management records and time it took to retrieve records should be no more than two hours  |
| Indicator 12. Contract Management Procedures   |   |                          |      |      |  |
| 12.a   | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance | Substantially Compliant  | 2.00 |      | Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation forms  |
| 12.b   | Timely Payment of Procurement Contracts   | On or before 30 days     | 3.00 |      | Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts  |
| Pillar IV. Integrity and Transparency of Agency Procurement System                       |   | Average III              | 2.17 |      |  |
| Indicator 13. Observer Participation in Public Bidding                                   |   |                          |      |      |  |
| 13.a   | Observers are invited to attend stages of procurement as prescribed in the IRR  | Fully Compliant          | 3.00 |      | Verify copies of invitation letters to CSOs and professional associations and COA (list and average number of CSOs and PAs invited shall be noted.)  |
| Indicator 14. Internal and External Audit of Procurement Activities                      |   |                          |      |      |  |
| 14.a   | Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits  | Not Compliant            | 0.00 |      | Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations  |
| 14.b   | Audit Reports on procurement related transactions   | Above 90-100% compliance | 3.00 |      | Verify COA Annual Audit Report on Action on prior Year's Audit Recommendations   |
| Indicator 15. Capacity to Handle Procurement Related Complaints                          |   |                          |      |      |  |
| 15.a   | The Procuring Entity has an efficient procurement complaint system and has the capacity to comply with procedural requirements  | Substantially Compliant  | 2.00 |      | Verify copies of BAC resolutions on Motion for Reconsideration, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints   |
| Indicator 16. Anti-Corruption Programs Related to Procurement                            |   |                          |      |      |  |
| 16.a   | Agency has a specific anti-corruption program/s related to procurement  | Fully Compliant          | 3.00 |      | Verify documentation of anti-corruption program  |
| GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)                      |   |                          | 2.13 |      |  |

Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

Agency prepares APP using the prescribed format

Approved APP is posted at the Procuring Entity's Website  
please provide link: <https://www.acwd.gov.ph/wp-content/uploads/Final-and-Approved-APP-2024.pdf>

Submission of the approved APP to the GPPB within the prescribed deadline  
please provide submission date: January 14, 2024

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

Agency prepares APP-CSE using prescribed format

Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually  
please provide submission date:

Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

Original contract awarded through competitive bidding

The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item

The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification

The quantity of each item in the original contract should not exceed 25%

Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality

Preparation and issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority

Transmittal of the Pre-Selected List by the HOPE to the GPPB

Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPs website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

Bidding documents are available at the time of advertisement/posting at the PhilGEPs website or Agency website;

Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;

Minutes of pre-bid conference are readily available within five (5) days.

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the following conditions? (3e)

The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity

No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment

Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPs website,

Office Order creating the Bids and Awards Committee

please provide Office Order No.: GM Memo No. 13, s. 2023

There are at least five (5) members of the BAC

please provide members and their respective training dates:

| Names                      | Date of RA 9184-related training |
|----------------------------|----------------------------------|
| A. ADORA E. BRAVO          | January 14-17, 2024              |
| B. MA. LOURDES DE FRANCAIA | January 14-17, 2024              |
| C. MARTHA R. SISON         | January 14-17, 2024              |
| D. MARICEL S. ADREJILLO    | January 14-17, 2024              |
| E. RUTH LEAH C. CASANA     | January 14-17, 2024              |
| F. _____                   | _____                            |
| G. _____                   | _____                            |

Members of BAC meet qualifications

Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat

please provide Office Order No.: GM Memo No. 13, s. 2023

The Head of the BAC Secretariat meets the minimum qualifications

please provide name of BAC Sec Head: MARIE PAZ V. VILLENA

Majority of the members of BAC Secretariat are trained on R.A. 9184

please provide training date: January 14-17, 2024

8. Have you conducted any procurement activities on any of the following? (5c)  
If YES, please mark at least one (1) then, answer the question below.

- Computer Monitors, Desktop
- Computers and Laptops
- Air Conditioners
- Vehicles
- Fridges and Freezers
- Copiers
- Paints and Varnishes
- Food and Catering Services
- Training Facilities / Hotels / Venues
- Toilets and Urinals
- Textiles / Uniforms and Work Clothes

Do you use green technical specifications for the procurement activity/ies of the non-CSE items?

Yes  No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website

please provide link: <https://www.acwd.gov.ph/>

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB

please provide submission dates: 1st Sem - July 13, 2023 2nd Sem - January 14, 2022

PMRs are posted in the agency website

please provide link: <https://www.acwd.gov.ph/transparency-seal/>

PMRs are prepared using the prescribed format

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitments

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training:

January 14-17, 2024

Head of Procuring Entity (HOPE)

Bids and Awards Committee (BAC)

BAC Secretariat/ Procurement/ Supply Unit

BAC Technical Working Group

End-user Units

Other staff

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year

The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

There is a list of procurement related documents that are maintained for a period of at least five years

The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers

The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

There is a list of contract management related documents that are maintained for a period of at least five years

The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers

The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured infrastructure projects through any mode of procurement for the past year?

Yes

No

Supervision of civil works is carried out by qualified construction supervisors  
Name of Civil Works Supervisor: ENGR. MARICEL S. ADREJILLO, MR. ROBERTO BANQUED

Agency implements CPES for its works projects and uses results to check contractors' qualifications  
(applicable for works only)  
Name of CPES Evaluator: ENGR. MARICEL S. ADREJILLO

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) \_\_\_\_\_ 10 days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
- B. Shortlisting (For Consulting Services Only)
- C. Pre-bid conference
- D. Preliminary examination of bids
- E. Bid evaluation
- F. Post-qualification

Observers are invited to attend stages of procurement as prescribed in the IRR

Observers are allowed access to and be provided documents, free of charge, as stated in the IRR

Observer reports, if any, are promptly acted upon by the procuring entity

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

Creation of Internal Audit Unit (IAU) in the agency

Agency Order/DBM Approval of IAU positions:

Conduct of audit of procurement processes and transactions by the IAU within the last three years

Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

Yes (percentage of COA recommendations responded to or implemented within six months) \_\_\_\_\_ 100 %

No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR

The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR

Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption programs related to procurement, which of these conditions is/are present? (16a)

Agency has a specific office responsible for the implementation of good governance programs

Agency implements a specific good governance program including anti-corruption and integrity development

Agency implements specific policies and procedures in place for detection and prevention of corruption

**ANNEX B  
GOVERNMENT PROCUREMENT POLICY BOARD  
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: ALAMINOS CITY WATER DISTRICT

Period Covered:

|   | Column 1       | Column 2 | Column 3 | Column 4      | Column 5 | Column 6 | Column 7 | Column 8 | Column 9 | Column 10 | Column 11 | Column 12 | Column 13 |
|---|----------------|----------|----------|---------------|----------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|
| <b>1. Public Bidding*</b>                             |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 1.1. Goods  | 96,050,180.00  | 9        | 8        | 12,450,057.00 | 4        | 26       | 26       | 8        | 9        | 8         | 0         | 0         | 0         |
| 1.2. Works  | 4,929,374.94   | 2        | 1        | 2,550,010.14  | 1        | 4        | 2        | 1        | 2        | 1         | 0         | 0         | 0         |
| 1.3. Consulting Services                              |                |          |          |               |          |          |          |          |          |           |           |           |           |
| Sub-Total   | 100,979,554.94 | 11       | 9        | 15,000,067.14 | 5        | 30       | 28       | 9        | 11       | 9         | 0         | 0         | 0         |
| <b>2. Alternative Modes</b>                           |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.1.1 Shopping (52.1 a above 50K)                     | 0.00           | 0        | 0        | 0.00          |          |          |          | 0        |          | 0         |           |           |           |
| 2.1.2 Shopping (52.1 b above 50K)                     | 710,235.00     | 5        | 5        | 684,757.00    |          |          |          | 5        | 5        | 5         |           |           |           |
| 2.1.3 Other Shopping                                  |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.2.1 Direct Contracting (above 50K)                  | 990,000.00     | 1        | 1        | 952,650.00    |          |          |          | 1        |          | 1         |           |           |           |
| 2.2.2 Direct Contracting (50K or less)                |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.3.1 Repeat Order (above 50K)                        |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.3.2 Repeat Order (50K or less)                      |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.4. Limited Source Bidding                           |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.5.1 Negotiation (Common-Use Supplies)               |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.5.2 Negotiation (Recognized Government Printers)    |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.5.3 Negotiation (TRF 53.4)                          |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 2.5.4 Negotiation (SVP 53.9 above 50K)                | 8,594,666.32   | 47       | 46       | 8,004,282.45  |          |          |          |          | 47       | 46        |           |           |           |
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| 3.1. Publicly-Bid                                     |                |          |          |               |          |          |          |          |          |           |           |           |           |
| 3.2. Alternative Modes                                |                |          |          |               |          |          |          |          |          |           |           |           |           |
| Sub-Total   | 0.00           | 0        | 0        | 0.00          |          |          |          |          |          |           |           |           |           |
| <b>4. Others, specify:</b>                            |                |          |          |               |          |          |          |          |          |           |           |           |           |
| TOTAL   | 115,031,597.31 | 256      | 252      | 28,030,300.89 |          |          |          |          |          |           |           |           |           |

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PATRICIA A. ALORAY / LHIZE JOY R. GOMEZ  
 BAC Secretariat

ADORA E. BRAVO  
 BAC Chairperson

MICHELLE S. SEGUN  
 HOPE

| No.   | Assessment Conditions  | Agency Score            | APCI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be included in the Evaluation Form)                         |
|---|--|-------------------------|--------------|---|--|
| <b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>                               |  |                         |              |   |  |
| <b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>            |  |                         |              |   |  |
| 1.a   | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement   | 53.51%                  | 0.00         |   | PMRS   |
| 1.b   | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement   | 3.57%                   | 0.00         |   | PMRS   |
| <b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>               |  |                         |              |   |  |
| 2.a   | Percentage of shopping contracts in terms of amount of total procurement   | 2.49%                   | 3.00         |   | PMRS   |
| 2.b   | Percentage of negotiated contracts in terms of amount of total procurement   | 40.64%                  | 0.00         |   | PMRS   |
| 2.c   | Percentage of direct contracting in terms of amount of total procurement   | 3.40%                   | 1.00         |   | PMRS   |
| 2.d   | Percentage of repeat order contracts in terms of amount of total procurement   | 0.00%                   | 3.00         |   | PMRS   |
| 2.e   | Compliance with Repeat Order procedures  | n/a                     | n/a          |   | Procurement documents relative to conduct of Repeat Order  |
| 2.f   | Compliance with Limited Source Bidding procedures  | n/a                     | n/a          |   | Procurement documents relative to conduct of Limited Source Bidding                                      |
| <b>Indicator 3. Competitiveness of the Bidding Process</b>                          |  |                         |              |   |  |
| 3.a   | Average number of entries who acquired bidding documents   | 2.73                    | 0.00         |   | Agency records and/or PhilGEP5 records   |
| 3.b   | Average number of bidders who submitted bids   | 2.55                    | 1.00         |   | Abstract of bids or other agency records   |
| 3.c   | Average number of bidders who passed eligibility stage   | 0.82                    | 0.00         |   | Abstract of bids or other agency records   |
| 3.d   | Sufficiency of period to prepare bids  | Fully Compliant         | 3.00         |   | Agency records and/or PhilGEP5 records   |
| 3.e   | Use of proper and effective procurement documentation and technical specifications/requirements  | Fully Compliant         | 3.00         |   | Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents                |
| <b>Average I 1.27</b>   |  |                         |              |   |  |
| <b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>            |  |                         |              |   |  |
| <b>Indicator 4. Presence of Procurement Organizations</b>                           |  |                         |              |   |  |
| 4.a   | Creation of Bids and Awards Committees(s)  | Fully Compliant         | 3.00         |   | Verify copy of Order creating BAC; Organizational Chart; and Certification of Training                   |
| 4.b   | Presence of a BAC Secretariat or Procurement Unit  | Fully Compliant         | 3.00         |   | Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training       |
| <b>Indicator 5. Procurement Planning and Implementation</b>                         |  |                         |              |   |  |
| 5.a   | An approved APP that includes all types of procurement   | Compliant               | 3.00         |   | Copy of APP and its supplements (if any)   |
| 5.b   | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service | Substantially Compliant | 2.00         |   | APP, APP-CSE, PMR  |
| 5.c   | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | Compliant               | 3.00         |   | ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity |
| <b>Indicator 6. Use of Government Electronic Procurement System</b>                 |  |                         |              |   |  |
| 6.a   | Percentage of bid opportunities posted by the PhilGEP5-registered Agency   | 100.00%                 | 3.00         |   | Agency records and/or PhilGEP5 records   |
| 6.b   | Percentage of contract award information posted by the PhilGEP5-registered Agency  | 100.00%                 | 3.00         |   | Agency records and/or PhilGEP5 records   |
| 6.c   | Percentage of contract awards procured through alternative methods posted by the PhilGEP5-registered Agency  | 100.00%                 | 3.00         |   | Agency records and/or PhilGEP5 records   |
| <b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b> |  |                         |              |   |  |
| 7.a   | Presence of website that provides up-to-date procurement information easily accessible at no cost  | Fully Compliant         | 3.00         |   | Identify specific procurement-related portion in the agency website and specific website links           |
| 7.b   | GPPB-prescribed format, submission to the GPPB, and posting in agency website  | Fully Compliant         | 3.00         |   | Copy of PMR and received copy that it was submitted to GPPB  |
| <b>Average II 2.90</b>  |  |                         |              |   |  |
| <b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>                      |  |                         |              |   |  |
| <b>Indicator 8. Efficiency of Procurement Processes</b>                             |  |                         |              |   |  |
| 8.a   | assessment year against total amount in the approved APPs  | 24.37%                  | 0.00         |   | APP (including Supplemental amendments, if any) and PMRS   |
| 8.b   | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding   | 81.82%                  | 0.00         |   | APP (including Supplemental amendments, if any) and PMRS   |



**Annex D**

**PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE**

Name of Agency: **ALAMINOS CITY WATER DISTRICT**

Period: **2023**

| <b>Sub-Indicators</b> | <b>Key Area for Development</b>  | <b>Proposed Actions to Address Key Areas</b>   | <b>Responsible Entity</b> | <b>Timetable</b> | <b>Resources Needed</b> |
|-----------------------|--|--|---------------------------|------------------|-------------------------|
| 1.a                   | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement | Make at least 60% of amount of total procurement be procured through competitive bidding and limited source bidding  | BAC                       | 2024             | Personnel               |
| 1.b                   | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement | Increase the number of procurement activities through competitive bidding  | BAC                       | 2024             | Personnel               |
| 2.a                   | Percentage of shopping contracts in terms of amount of total procurement                                       |  |                           |                  |                         |
| 2.b                   | Percentage of negotiated contracts in terms of amount of total procurement                                     | Reduce the number of contracts awarded through negotiation and constantly abide competitive bidding  | BAC                       | 2024             | Personnel               |
| 2.c                   | Percentage of direct contracting in terms of amount of total procurement                                       | Limit to 1% at most the use of Direct Contracting of the total procurement   | BAC                       | 2024             | Personnel               |
| 2.d                   | Percentage of repeat order contracts in terms of amount of total procurement                                   |  |                           |                  |                         |
| 2.e                   | Compliance with Repeat Order procedures  |  |                           |                  |                         |
| 2.f                   | Compliance with Limited Source Bidding procedures  |  |                           |                  |                         |
| 3.a                   | Average number of entities who acquired bidding documents  | Encourage interested bidders to acquire and submit bidding documents and to participate in the competitive bidding apart from indicating intent in the Philgeps Document Request List                        | BAC                       | 2024             | Personnel               |
| 3.b                   | Average number of bidders who submitted bids   | Increase the number of bidders by inviting more prospective bidders to participate in the competitive bidding and diligently inform them to submit bids within the prescribed period of closing date & time. | BAC                       | 2024             | Personnel               |
| 3.c                   | Average number of bidders who passed eligibility stage   | Invite more eligible bidders to increase the number of bidders and constant orientation to submit/present the required documents in order to pass the eligibility stage.                                     | BAC                       | 2024             | Personnel               |
| 3.d                   | Sufficiency of period to prepare bids  |  |                           |                  |                         |
| 3.e                   | Use of proper and effective procurement documentation and technical specifications/requirements                |  |                           |                  |                         |
| 4.a                   | Creation of Bids and Awards Committee(s)   |  |                           |                  |                         |

|      |  |   |     |      |           |
|------|--|---|-----|------|-----------|
| 4.b  | Presence of a BAC Secretariat or Procurement Unit  |   |     |      |           |
| 5.a  | An approved APP that includes all types of procurement   | Ensure that at least 80% of APP includes all types of procurement   | BAC | 2024 | Personnel |
| 5.b  | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service |   |     |      |           |
| 5.c  | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | To adopt the existing specifications for GPPB identified non-CSE items  | BAC | 2024 | Personnel |
| 6.a  | Percentage of bid opportunities posted by the PhilGEPS-registered Agency   | Increase the number of entities who acquire bidding docs by regularly encouraging interested bidders to acquire, submit bidding documents and to participate in the competitive bidding apart from indicating intent in the PhilGEPS Document Request List. | BAC | 2024 | Personnel |
| 6.b  | Percentage of contract award information posted by the PhilGEPS-registered Agency  |   |     |      |           |
| 6.c  | Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency  | Ensure that 100% of contracts awards / P.O. are posted on Philgeps of ACWD  |     |      |           |
| 7.a  | Presence of website that provides up-to-date procurement information easily accessible at no cost  |   |     |      |           |
| 7.b  | Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website                                    | Prepare, submit and post PMRs   | BAC | 2024 | Personnel |
| 8.a  | Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs  | Increase the number of contracts awarded to equate the number of procurement projects through competitive bidding by possibly evading failure of bidding and other alternative mode of procurement.   | BAC | 2024 | Personnel |
| 8.b  | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding   | Encourage end-users to schedule their procurement activities in advance in order to allow enough time for the bidding process and achieve the best outcome.   | BAC | 2024 | Personnel |
| 8.c  | Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe  |   |     |      |           |
| 9.a  | Percentage of contracts awarded within prescribed period of action to procure goods  | 70% of contracts awarded within prescribed period of action to procure infrastructure projects  | BAC | 2024 | Personnel |
| 9.b  | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects  |   |     |      |           |
| 9.c  | Percentage of contracts awarded within prescribed period of action to procure consulting services  |   |     |      |           |
| 10.a | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis  |   |     |      |           |

|      |   |  |  |      |                            |
|------|---|--|--|------|----------------------------|
| 10b  | Percentage of participation of procurement staff in procurement training and/or professionalization program   | Encourage and provide the HOPE, BAC members, End-user Units and Procurement staff trainings and updates regarding R.A. 9184 in coordination with Human Resource Office | End-users/ BAC Members/ Secretariat/ Procurement Staff/ TWG/ HRD | 2024 | GPPB, PhilGEPS, GACPA, PAG |
| 10.c | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                  |  |  |      |                            |
| 11.a | The BAC Secretariat has a system for keeping and maintaining procurement records  |  |  |      |                            |
| 11.b | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             |  |  |      |                            |
| 12.a | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance |  |  |      |                            |
| 12.b | Timely Payment of Procurement Contracts   | Accounting and budget is strictly implementing duration for the timeline of all procurements.  | BAC  | 2024 | Personnel                  |
| 13.a | Observers are invited to attend stages of procurement as prescribed in the IRR  |  |  |      |                            |
| 14.a | Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits  | Pre-Audit is being done by the Accounting Unit and also Post-Audit was conducted before submission of Procurement Documents to the concern Agency                      | BAC  | 2024 | Personnel                  |
| 14.b | Audit Reports on procurement related transactions   |  |  |      |                            |
| 15.a | The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements   | The BAC secretariat are assisting all procurement complaints for the end-user to comply with all the procurement process requirements                                  | BAC  | 2024 | Personnel                  |
| 16.a | Agency has a specific anti-corruption program/s related to procurement  | The BAC with the Accounting and Budget unit carefully review requests comparing previous purchases with regards to ABC and technical specifications                    | BAC  | 2024 | Personnel                  |